

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: : Date: February 13, 2002  
:   
K. Matsumoto et al. :   
:   
Serial No.: 09/996,836 : Group Art Unit:  
Confirmation No.: 2578 : Examiner: Not yet assigned  
:   
Filed: November 29, 2001 : Docket No.: YOR920000189US2  
  
For: THREE LEVEL STACKED  
REFLECTIVE DISPLAY

COPY OF PAPERS  
ORIGINALLY FILED

Assistant Commissioner for Patents  
Initial Patent Examination Division  
Washington, D.C. 20231

Response to Notice to File Corrected Application Papers

Sir:

In response to the Notice to File Corrected Application Papers, mailed December 14, 2001, for which the time to respond expires on February 14, 2002, enclosed herewith is a substitute set of twenty five (25) formal drawings for the above-identified application.

A copy of the "Notice to File Corrected Application Papers -Filing Date Granted" is attached as per your instructions.

Respectfully submitted,  
Attorney for the Applicant(s)

by   
Robert M. Trepp  
Registration No. 25,933

IBM Corporation  
Intellectual Property Law Department  
P. O. Box 218  
Yorktown Heights, N. Y. 10598  
Telephone No.: (914) 945-3147  
Fax No.: (914) 945-3281

M.M

Section 5



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

To: Assistant Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, D.C. 20231

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ORIGINALLY FILED

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Filing of Missing Parts of Application Under 37 CFR 1.63  
(in duplicate);
3. Declaration and Power of Attorney Form;
4. Notice to File Missing Parts of Application (return copy); and
5. Assignment to IBM with attached Recordation Form

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Initial Patent Examination Division  
Box Missing Parts  
Washington, DC 20231

on February 13, 2002

Lucille Fourgeot  
(Print name of person certifying)

Lucille Fourgeot  
(Signature of person certifying)

Docket YOR920000819US2



COPY OF PAPERS  
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To: Assistant Commissioner for Patents  
Initial Patent Examination Division  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)**

I hereby certify that the attached correspondence comprising:

1. Acknowledgment Post Card;
2. Response to the Notice to File Corrected Application Papers;
3. Set of twenty five (25) Formal Drawings; and
4. Notice to File Corrected Application Papers (return copy)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

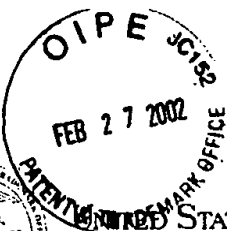
Assistant Commissioner for Patents  
Initial Patent Examination Division  
Washington, DC 20231

on February 13, 2002

Lucille Fourgeot  
(Print name of person certifying)

*Lucille Fourgeot*  
(Signature of person certifying)

Docket No.: YOR920000819US2



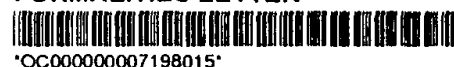
## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/996,836	11/29/2001	Keiji Matsumoto	YOR920000819US2

CONFIRMATION NO. 2578

## FORMALITIES LETTER



\*OC00000007198015\*

COPY OF PAPERS  
ORIGINALLY FILED

Date Mailed: 12/14/2001

Due 2/14/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.
  - more than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1));

*A copy of this notice MUST be returned with the reply.*

MTS  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

15

37  
14  
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Fig 14

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14  
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#3

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: :  
Keiji Matsumoto et al. : Docket: YOR920000819US2  
Serial No.: 08/996,836 : Attention: John Dill  
Filed: November 29, 2001 : Date: July 17, 2002  
For: Three Level Stacked Reflective Display

SUBMISSION OF SUBSTITUTE DECLARATIONSVIA FACSIMILE

Commissioner for Patents  
USPTO Box Duplicate (OIPE)  
Washington, D.C. 20231

Sir:

In response to a discussion with John Dill over the phone on July 1, 2002, enclosed via facsimile are two substitute original Declarations meant to supplement the Declaration submitted on the 13th of February 2002 which was received by the USPTO but is now missing the last page containing four inventor signatures.

The first substitute original Declaration was executed by the four Japanese inventors, Keiji Matsumoto, Kuniaki Sueoka, Yoichi Taira and Keizoh Takeda.

The second substitute original Declaration was executed by the second listed inventor, Lubomyr Taras Romankiw.

Respectfully submitted,  
Attorney for the Applicant(s)

by Robert M. Trepp  
Robert M. Trepp  
Registration No. 25,933

IBM Corporation  
Intellectual Property Law Department  
P. O. Box 218  
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Telephone No.: (914) 945-3147  
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Docket No.: YOR920000819US2

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Date: July 17, 2002

K. Matsumoto et al.

Serial No.: 09/996,836

Confirmation No. 2578

Filed: November 29, 2001

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: Attention: John Dill  
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:  
: Docket No.: YOR920000819US2

For: THREE LEVEL STACKED REFLECTIVE DISPLAY

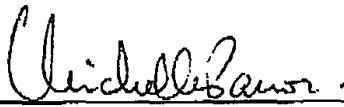
Commissioner for Patents  
Washington, D.C. 20231CERTIFICATION OF TRANSMISSION  
PURSUANT TO 37 CFR 1.8

Dear Sir:

I hereby certify that the attached correspondence comprising:

1. Submission of Substitute Declarations;
2. First Substitute original Declaration; and
3. Second Substitute original Declaration

is being facsimile transmitted as provided under Rule CFR 1.6(d) to  
the U.S. Patent and Trademark Office on July 17, 2002.

  
\_\_\_\_\_  
Michelle Parra

2002 JUL 17 16:57